



PATENT

Attorney Docket No. (0320-0020) <u>HOOV 120</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven

Serial No.: 10/015,476

Filed: December 13, 2001

Group Art No.: 3739

Examiner: Rosiland S. Kearney

For: SUB-XYPHOID METHOD FOR

ABLATING CARDIAC TISSUE

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents is provided in U.S. Patent Application Serial No. 10/032,378, which is relied upon for an earlier effective filing date of the present application under 35 U.S.C. 102, and accordingly is not enclosed.

No inference should be drawn that any method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an

admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no additional fee is required for the submission of this Information Disclosure Statement. However, should an additional fee be required, authorization is hereby given to charge Deposit Account 50-1039. (A duplicate of this document is enclosed herewith.)

Respectfully submitted,

Date: \_\_July 18, 2003

Gary W. McFarron, Esq.

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Attorneys for Applicant

**Application Number** 

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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

10/015,476

December 13, 2001

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**Filing Date** 

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

First Named Inventor

Michael D. Hooven

Group Art Unit

Examiner Name

Michael D. Hooven

Rosiland S. Kearney

Total Number of Pages in	This Submission	*	Attorney Docket Number	er (HOOV 120) 0320-0020		
ENCLOSURES (check all that apply)						
Fee Transmittal Form Fee Attached  Amendment / Reply After Final Affidavits/declaration(s  Extension of Time Request  Express Abandonment Request  Information Disclosure Statem  Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application  Response to Missing Parts/ under 37 CFR 1.52 or 1	est	(for an A   Drawing   Licensin   Petition   Petition   Provisio   Power of   Change   Address   Termina	nent Papers Application)  (s)  g-related Papers  to Convert to a  nal Application of Attorney, Revocation of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Second Status Letter Other Enclosure(s) (please identify below): - Certificate of Mailing - Return Receipt Poscard		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27,357)  Signature  Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.  Gary W. McFarron, Esq. (Reg. No. 27,357)						
Date	7/18/03	3				

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INFORMATION DISCLOSURE

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(Use as many sheets as necessary)

of 11

STATEMENT BY APPLICANT

Application Number 10/015,476
Filing Date December 13, 2001
First Named Inventor Michael D. Hooven
Art Unit 3739
Examiner Name Rosiland S. Kearney
Attorney Docket Number HOOV 120

_			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,549,636	08-27-1996	Li	
· · · · · · · · · · · · · · · · · · ·	2	<sup>US-</sup> 5,562,700	10-08-1996	Huitema et al.	
	3	<sup>US-</sup> 5,575,805	11-19-1996	Li	
	4	<sup>US-</sup> 5,855,590	01-05-1999	Malecki et al.	
	5	<sup>US-</sup> 6,264,087	07-04-2001	Whitman	
	6	<sup>US-</sup> 6,267,761	07-31-2001	Ryan	
	7	<sup>US-</sup> 6,273,887	08-14-2001	Yamauchi et al.	
	8	<sup>US-</sup> 6,296,640	10-02-2001	Wampler et al.	
	9	<sup>US-</sup> 6,391,024	05-21-2002	Sun et al.	
	10	<sup>US-</sup> 2001/0039419 A1	11-08-2001	Francischelli et al.	PEO-
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		FORE	IGN PATENT DOCL	JMENTS	<u></u>	
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	11	WO 01/82812 A1	11-08-2001	Medtronic, Inc.		
	12	WO 01/82813 A2	11-08-2001	Medronic, Inc.		
	13	WO 01/82813 A3	11-08-2001	Medtronic, Inc.		

Examiner			Date	
Signature			Considered	
		_		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.